

IN THE UNITED STATE PATENT AND TRADEMARK OFFICE

Applicant: Sankaran Narayanan et al.

Serial No:

Confirmation No:

Filed: filed herewith

For:

Examiner:

Art Unit:

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Commissioner for Patents

Washington, D.C. 20231

STATEMENT FILED PURSUANT TO  
THE DUTY OF DISCLOSURE UNDER 37 C.F.R. §§ 1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§ 1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

**PART I: Compliance with 37 C.F.R. § 1.97**

This Information Disclosure Statement has been filed within three (3) months of the filing date of a National Application. No fee or certification is required.

**PART II: Additional Information**

The Applicant hereby makes the following additional information of record in the above-identified application.

The Applicant would like to bring to the Examiner's attention the following co-pending applications that may contain subject matter related to this application:

<u>Serial No.</u>	<u>Filing Date</u>	<u>Inventor(s)</u>
10/151,575	May 17, 2002	Jeremy T. Buch and David J. Simons

A copy of the application as filed is attached for the Examiner's convenience.

**PART III: Remarks**

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO/SB/08A. The order of presentation of the references should not be construed as an indication of the importance of the references. Documents cited on the attached form PTO/SB/08A are enclosed unless otherwise indicated on the attached form PTO/SB/08A. It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO/SB/08A be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information printed on any patent which issues from this application.


By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. § 10-2.

Notwithstanding any statement by the Applicant, the Applicant urges the Examiner to form his own conclusion regarding the relevance of the cited information. An early and favorable action is hereby requested.

Respectfully submitted,  
*Sankaran Narayanan, et al, Applicant*

By:   
Carole A. Boelitz, Reg. No. 48,958  
Microsoft Corporation  
Telephone (425) 722-6035

Docket No. 307568.01/MSFTI22176  
Dated: 03/30/04  
**Xnndd**

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	filed herewith
Filing Date	March 31, 2004
First Named Inventor	Sankaran Narayanan__
Art Unit	
Examiner Name	
Attorney Docket Number	307568.01/MSFTI22176

Sheet 1 of 2

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	1	US- 6,275,937	08/14/2001	Hailpern et al.	
	2	US- 6,553,422	04/22/2003	Nelson	
	3	US- 6,567,915	05/20/2003	Guthery	
	4	US- 6,584,567	06/24/2003	Bellwood et al.	
	5	US- 2002/0174125	11/21/2002	Lucovsky et al.	
	6	US- 2002/0178240	11/28/2002	Fiveash et al.	
	7	US- 2002/0184521	12/05/2002	Lucovsky et al.	
	8	US- 2003/0009570	01/09/2003	Moskowitz et al.	
	9	US- 2003/0084331	05/01/2003	Dixon et al.	
	10	US- 2003/0105965	06/05/2003	Challenger	
	11	US- 2003/0217165	11/20/2003	Buch et al.	
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>		<b>Complete if Known</b>	
		Application Number	
		Filing Date	March 31, 2004
		First Named Inventor	Sankaran Narayanan
		Art Unit	
		Examiner Name	
Sheet 2	of 2	Attorney Docket Number	307568.01/MSFTI22176

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	12	DENG et al., Defending Against Redirect Attacks in Mobile IP, 9th Conference on Computer and Communications Security, November 18-22, 2002, pp. 59-67, Washington DC	
	13	GORDON et al., Validating a Web Service Security Abstraction by Typing, Proceedings of the 2002 ACM Workshop on XMP-Security, Nov. 2002; pp. 18-29, ACM Press, Fairfax, VA	
	14	JENNINGS et al., RFC3325 Private Extensions to the SIP for Asserted Identity within Trusted Networks, ( <a href="http://www.faqs.org/rfcs/rfc3325.html">http://www.faqs.org/rfcs/rfc3325.html</a> ), Nov., 2002, The Internet Society	
	15	Marshall ed., RFC3313 Private Session Initiation Protocol (SIP) Extensions for Media Authorization, ( <a href="http://www.faqs.org/rfcs/rfc3313.html">http://www.faqs.org/rfcs/rfc3313.html</a> ), Jan. 2003, The Internet Society	
	16	ROSENBERG et al, RFC3261 SIP: Session Initiation Protocol, ( <a href="http://www.faqs.org/rfcs/rfc3261.html">http://www.faqs.org/rfcs/rfc3261.html</a> ), June, 2002, The Internet Society	
	17	SIP: Protocol Overview, ( <a href="http://www1.radvision.com/NR/rdonlyres/0F6C2E81-72B3-4C42-B33C-DDBC9115AC3D/234/SIPProtocolOverview.pdf">http://www1.radvision.com/NR/rdonlyres/0F6C2E81-72B3-4C42-B33C-DDBC9115AC3D/234/SIPProtocolOverview.pdf</a> ), 2001, pp. 1-16, Radvision Ltd.	

Examiner Signature		Date Considered	
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